§4.1267

the hearing setting forth that information. For purposes of the affidavit or statement the applicant may rely upon telephone confirmation by the office of the field solicitor that the application was received.

- (4) In addition to the service requirements of §4.1266(b) (1) and (2), the applicant shall serve any other parties with a copy of the application simultaneously with the filing of the application. If service is accomplished by mail, the applicant shall inform such other parties by telephone at the time of mailing that an application is being filed, the contents of the application, and with whom the application was filed.
- (5) The field solicitor and all other parties may indicate their objection to the application by communicating such objection to the administrative law judge and the applicant by telephone. However, no ex parte communication as to the merits of the proceeding may be conducted with the administrative law judge. The field solicitor and all other parties shall simultaneously reduce their objections to writing. The written objections must be immediately filed with the administrative law judge and immediately served upon the applicant.
- (6) Upon receipt of communication that there is an objection to the request, the administrative law judge shall immediately order a location, time, and date for the hearing by communicating such information to the field solicitor, all other parties, and the applicant by telephone. The administrative law judge shall reduce such communications to writing in the form of a memorandum to the file.
 - (7) If a hearing is held—
- (i) The administrative law judge may require the parties to submit proposed findings of fact and conclusions of law at the hearing which may be orally supplemented on the record at the hearing or where written proposed findings of fact and conclusions of law have not been submitted at the hearing, they may be orally presented for the record at the hearing.
- (ii) The administrative law judge shall either rule from the bench on the application, orally stating the reasons for his decision or he shall within 24

hours of completion of the hearing issue a written decision. If the administrative law judge makes an oral ruling, his approval of the record of the hearing shall constitute his written decision.

- (8) The order or decision of the administrative law judge shall be issued within 5 working days of the receipt of the application for temporary relief.
- (9) If at any time after the initiation of this expedited procedure, the applicant requests a delay or acts in a manner so as to frustrate the expeditious nature of this proceeding or fails to supply the information required by §4.1263 such action shall constitute a waiver of the 5-day requirement of section 525(c) of the act.

[43 FR 34386, Aug. 3, 1978, as amended at 49 FR 7565, Mar. 1, 1984; 59 FR 1489, Jan. 11, 1994; 67 FR 61510, Oct. 1, 2002]

§ 4.1267 Appeals.

- (a) Any party desiring to appeal a decision of an administrative law judge granting temporary relief may appeal to the Board.
- (b) Any party desiring to appeal a decision of an administrative law judge denying temporary relief may appeal to the Board or, in the alternative, may seek judicial review pursuant to section 526(a) of the act.
- (c) The Board shall issue an expedited briefing schedule and shall issue a decision on the appeal expeditiously.

[43 FR 34386, Aug. 3, 1978, as amended at 45 FR 50753, July 31, 1980]

APPEALS TO THE BOARD FROM DECISIONS OR ORDERS OF ADMINISTRATIVE LAW JUDGES

§4.1270 Petition for discretionary review of a proposed civil penalty.

- (a) Any party may petition the Board to review an order or decision by an administrative law judge disposing of a civil penalty proceeding under §4.1150.
- (b) A petition under this section shall be filed on or before 30 days from the date of receipt of the order or decision sought to be reviewed and the time for filing may not be extended.
- (c) A petitioner under this section shall list the alleged errors of the administrative law judge and shall attach

a copy of the order or decision sought to be reviewed.

- (d) Any party may file with the Board a response to the petition for review within 10 days of receipt of a copy of such petition.
- (e) Not later than 30 days from the filing of a petition under this section, the Board shall grant or deny the petition in whole or in part.
- (f) If the petition is granted, the rules in §§4.1273 through 4.1275 are applicable, and the Board must use the point system and conversion table contained in 30 CFR part 723 or 845 in recalculating assessments. However, the Board has the same authority to waive the civil penalty formula as that granted to administrative law judges in §4.1157(b)(1). If the petition is denied, the decision of the administrative law judge is final for the Department, subject to §4.5.

[43 FR 34386, Aug. 3, 1978, as amended at 67 FR 61511, Oct. 1, 2002; 75 FR 64669, Oct. 20, 2010]

§ 4.1271 Notice of appeal.

- (a) Any aggrieved party may file a notice of appeal from an order or decision of an administrative law judge disposing of a proceeding under §§ 4.1160 through 4.1171, 4.1200 through 4.1205, through 4.1267, 4.1290 through 4.1296, and 4.1350 through 4.1356.
- (b) Except in an expedited review proceeding under §4.1180, or in a suspension or revocation proceeding under §4.1190, a notice of appeal shall be filed with the Board on or before 30 days from the date of receipt of the order or decision sought to be reviewed and the time for filing may not be extended.

[43 FR 34386, Aug. 3, 1978, as amended at 59 FR 1489, Jan. 11, 1994]

§4.1272 Interlocutory appeals.

- (a) If a party has sought certification under §4.1124, that party may petition the Board for permission to appeal from an interlocutory ruling by an administrative law judge.
- (b) A petition under this section shall be in writing and not exceed 10 pages in length.
- (c) If the correctness of the ruling sought to be reviewed involves a controlling issue of law the resolution of

which will materially advance final disposition of the case, the Board may grant the petition.

- (d) Upon granting a petition under this section, the Board may dispense with briefing or issue a briefing schedule.
- (e) Unless the Board or the administrative law judge orders otherwise, an interlocutory appeal shall not operate as a stay of further proceedings before the judge.
- (f) In deciding an interlocutory appeal, the Board shall confine itself to the issue presented on appeal.
- (g) The Board shall promptly decide appeals under this section.
- (h) Upon affirmance, reversal or modification of the administrative law judge's interlocutory ruling or order, the jurisdiction of the Board shall terminate, and the case shall be remanded promptly to the administrative law judge for further proceedings.

§4.1273 Briefs.

- (a) Unless the Board orders otherwise, an appellant's brief is due on or before 30 days from the date of receipt of notice by the appellant that the Board has agreed to exercise discretionary review authority pursuant to §4.1270 or a notice of appeal is filed.
- (b) If any appellant fails to file a timely brief, an appeal under this part may be subject to summary dismissal.
- (c) An appellant shall state specifically the rulings to which there is an objection, the reasons for such objections, and the relief requested. The failure to specify a ruling as objectionable may be deemed by the Board as a waiver of objection.
- (d) Unless the Board orders otherwise, within 20 days after service of appellant's brief, any other party to the proceeding may file a brief.
- (e) If any argument is based upon the evidence of record and there is a failure to include specific record citations, when available, the Board need not consider the arguments.
- (f) Further briefing may take place by permission of the Board.
- (g) Unless the Board provides otherwise, appellant's brief shall not exceed 50 typed pages and an appellee's brief shall not exceed 25 typed pages.